

| TRANSMITTAL LETTER | | | Case No. 9683/100 |
|--|---------------------------------|----------------------------|------------------------|
| Serial No. 10/031,291 | Filing Date January 14, 2002 | Examiner To Be Assigned | Group Art Unit 2131 |
| Inventor(s) Takayuki Nakajima | | | |
| Title of Invention Authentication System and Related Method | | | |

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is Transmittal Letter (in duplicate), Request for Correction of Filing Receipt (in duplicate), Amended Copy of Filing Receipt, Copy of Declaration, and Return Postcard.

Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.

- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☐ Petition for a _____ month extension of time.
- ☒ No additional fee is required.
- ☐ The fee has been calculated as shown below:

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| | Claims Remaining After Amendment | | Highest No. Previously Paid For | Present Extra |
|---|----------------------------------|-------|---------------------------------|---------------|
| Total | | Minus | | |
| Indep. | | Minus | | |
| First Presentation of Multiple Dep. Claim | | | | |

| Small Entity | |
|-----------------|-----------|
| Rate | Add'l Fee |
| x \$9 = | |
| x 42 = | |
| + \$140 = | |
| Total add'l fee | \$ |

or

| Other Than Small Entity | |
|-------------------------|-----------|
| Rate | Add'l Fee |
| x \$18 = | |
| x \$84 = | |
| + \$280 = | |
| Total add'l fee | \$ |

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$ _____. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$ _____ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.



00757

PATENT TRADEMARK OFFICE

BRINKS HOFER GILSON & LIONE
P.O. BOX 1039C
CHICAGO, ILLINOIS 60610
(312) 321-4200

Respectfully submitted,

Gregory J. Zaria

Gregory J. Zaria
Registration No. 48,059
Agent for Applicant

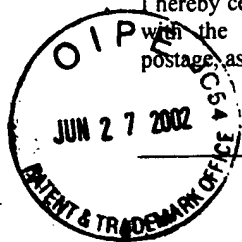
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on June 20, 2002.

Date:

June 20, 2002

Signature:

Gregory J. Zaria



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Washington, D.C. 20231

on June 20, 2002

Date of Deposit

Gregory H. Zayia - Reg. No. 48,059

Name of applicant, assignee or
Registered Representative

Gregory Zayia
Signature

June 20, 2002
Date of Signature

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Our Case No. 9683/100

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Takayuki Nakajima

Serial No. 10/031,291

Filing Date: January 14, 2002

For Authentication System and Related
Method

Examiner To Be Assigned

Group Art Unit No. 2131

REQUEST FOR CORRECTION OF FILING RECEIPT

Commissioner for Patents
Washington, D.C. 20231

Attention: Application Processing Division
Customer Correction Branch

Sir:


Applicant requests the issuance of a corrected filing receipt (amended copy enclosed) for the above-referenced patent application, and in support of this request respectfully states:

The title as shown on the filing receipt is incorrect, as evidenced by a copy of the Declaration attached herewith. Please delete the incorrect title "Authentication system and method" and replace with --Authentication system and related method--.

Also, the second named applicant is not an applicant on this application. Please delete "Yoshitaro Shimanuki" as applicant.

The Commissioner is hereby authorized to charge any fees required to
Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,



Gregory M. Zayia
Registration No. 48,059
Agent for Applicant

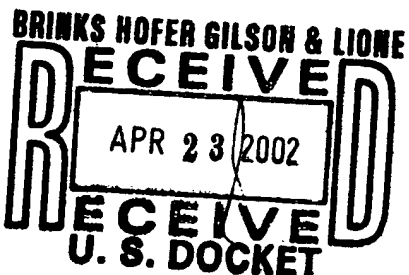
BRINKS HOFER GILSON & LIONE
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|-----------------|----------|------------|------------|
| 10/031,291 | 01/14/2002 | 2131 | 1824 | 9683/100 | 24 | 25 | 6 |

Brinks Hofer Gilson & Lione
P O Box 10395
Chicago, IL 60610

CONFIRMATION NO. 2536

FILING RECEIPT



OC000000007856393

Date Mailed: 04/16/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Takayuki Nakajima, Kanagawa, JAPAN;
~~Yoshitaro Shimanuki, Kanagawa, JAPAN;~~

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Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/JP01/04018 05/15/2001

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Foreign Applications

JAPAN 2000-142419 05/15/2000
JAPAN 2000-199668 06/30/2000

Projected Publication Date: To Be Determined - pending completion of Security Review

Non-Publication Request: No

Early Publication Request: No

Title

-- Authentication System and Related Method --
~~Authentication system and method~~

Preliminary Class

380

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

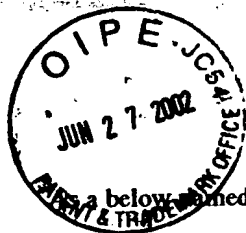
The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



DECLARATION FOR PATENT APPLICATION

I, the below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **AUTHENTICATION SYSTEM AND RELATED METHOD**

- ☐ is attached hereto.
- ☒ was filed on May 15, 2001 as International Application No. PCT/JP01/04018.
- ☐ and was amended on _____ (if applicable).

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I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability as defined in Title 37, Code of Federal Regulations, § 1.56(a).

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

Priority Claimed

| | | |
|--------------------|--------------|------------------------|
| <u>2000-142419</u> | <u>Japan</u> | <u>15/5/2000</u> |
| (Number) | (Country) | (Day/Month/Year Filed) |
| <u>2000-199668</u> | <u>Japan</u> | <u>30/6/2000</u> |
| (Number) | (Country) | (Day/Month/Year Filed) |

| | |
|-------------------------------------|--------------------------|
| <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| Yes | No |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| Yes | No |

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

| | |
|-----------------------------------|------------------------|
| _____ (Application Serial No.) | _____ (Filing Date) |
|-----------------------------------|------------------------|

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

| | | |
|--------------------------|------------------|---------------------------------------|
| <u>PCT/JP01/04018</u> | <u>15/5/2001</u> | <u>Pending</u> |
| (Application Serial No.) | (Filing Date) | (Status-patented, pending, abandoned) |

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Inventor's Signature
Full name of sole or first inventor
Residence
Citizenship
Post Office Address

Takayuki NAKAJIMA
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Date: December 10, 2007

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